



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

* 700067541A*

FEBRUARY 24, 2004

PTAS

COLEMAN SUDOL SAPONE, P.C.
R. NEIL SUDOL
714 COLORADO AVENUE
BRIDGEPORT, CT 06605-1601

RECEIVED

MAR 12 2004

TECHNOLOGY CENTER R3700

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 014359/0721
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILK, PETER J.

DOC DATE: 02/18/2004

ASSIGNEE:

WILK PATENT DEVELOPMENT
CORPORATION
160 THIRD AVENUE
NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09678134

FILING DATE: 10/04/2000
ISSUE DATE:

PATENT NUMBER:

014359/0721 PAGE 2

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02/20/2004

700067541


**RECORDATION FORM COVER SHEET
PATENTS ONLY**

 U.S. DEPARTMENT OF
COMMERCE
Patent and Trademark Office
Docket No: W07-473

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

WILK, Peter J.

 Additional name(s) of conveying party(ies) attached? Yes X No

3. Nature of conveyance:

 Assignment Merger

 Security Agreement Change of Name

 Other

Respective 02/18/04

Execution Dates:

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/678,134

B. Patent No.(s):

 Additional numbers attached? Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol Sapone, P.C.

Internal Address:

Street Address: 714 Colorado Avenue

City: Bridgeport State: CT ZIP: 06605-1601

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)..... \$40.00

 Enclosed

 Authorized to be charged to deposit account specified in item No. 8 below.

8. Deposit account number: 04-0838

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

 R. Neil Sudol, Reg. No. 31,669
Name of Person Signing

Signature

February 20, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

CH \$40.00 040838 09678134

ASSIGNMENT

For one dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), my/our entire right, title and interest in, to, and under:

a. Assignor's Provisional Patent Application entitled:

METHOD AND DEVICE FOR IMPROVING CARDIAC FUNCTION

Executed On: _____
 Serial Number: 09/678,134 Filed: 10/04/2000

b. Any and all inventions described in said provisional patent application.

c. Any and all nonprovisional, divisional, continuation, renewal, and substitute applications that may be filed for United States Letters Patent for any and all of said inventions.

d. Any and all patents that may be granted on the foregoing applications and any and all continuations, reissues, or extensions thereof.

e. Any and all improvements thereon or relating thereto which assignor has invented.

f. Any and all rights in foreign countries under such applications and inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

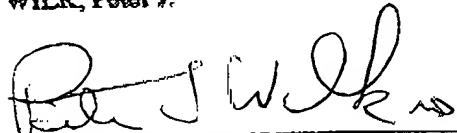
Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignee shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assignee to convey said rights.

Assignor further agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

1. Communicate to said Assignee any facts relating to the invention and the history thereof;
2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its nominees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
3. Testify at all proceedings concerning said invention and patents.

Full Name of First Inventor:

WILK, Peter J.



Inventor's Signature

Date

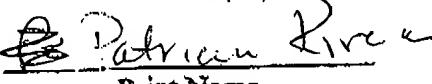
2/18/04

Full Name of Second Inventor:

Inventor's Signature

Date

Witness:



Print Name

Witness:

Print Name

Signature

Date

Signature

Date



NOVEMBER 13, 2002

COLEMAN SUDOL SAPONE, P.C.
R. NEIL SUDOL
714 COLORADO AVENUE
BRIDGEPORT, CT 06605-1601

PTAS



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

700020065A

700020065A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/12/2002

REEL/FRAME: 013238/0797

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

WILK, PETER J.

DOC DATE: 11/12/2002

ASSIGNEE:

WILK PATENT DEVELOPMENT
CORPORATION
160 THIRD AVENUE
NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09900126

FILING DATE: 07/06/2001

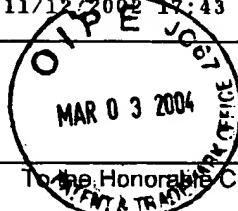
PATENT NUMBER:

ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED

MAR 12 2004
TECHNOLOGY CENTER R3700



11/12/2002

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF
COMMERCE
Patent and Trademark Office

Docket No: W07-485

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Peter J. WILK		2. Name and address of receiving party(ies) Name: Wilk Patent Development Corporation Internal Address: RECEIVED
Additional name(s) of conveying party(ies) attached Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Street Address: 160 Third Avenue City New York State New York ZIP 10003 MAR 12 2004 TECHNOLOGY CENTER R3700
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: November 12, 2002		
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s): 09/900,126 B. Patent No.(s):		
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6. Total number of applications and patents involved: 1 7. Total fee (37 C.F.R. 3.41)..... \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account specified in item No. 8.
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Coleman Sudol Sapone, P.C. Internal Address: Street Address: 714 Colorado Avenue City: Bridgeport State: CT ZIP: 06605-1601		
8. Deposit account number: 04-0838 (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE		
9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. R. Neil Sudol, Reg. No. 31,669 Name of Person Signing Signature Date November 12, 2002		
Total number of pages including cover sheet, attachments, and document: 3 Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231		

ASSIGNMENT

For one dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), my/our entire right, title and interest in, to, and under:

a. Assignors' Provisional Patent Application entitled:

INTRAPERICARDIAL ASSIST METHOD

Executed On: 07/06/2001
X Serial Number: 09/900,126 Filed: 07/06/2001

- b. Any and all inventions described in said provisional patent application.
- c. Any and all nonprovisional, divisional, continuation, renewal, and substitute applications that may be filed for United States Letters Patent for any and all of said inventions.
- d. Any and all patents that may be granted on the foregoing applications and any and all continuations, reissues, or extensions thereof.
- e. Any and all improvements thereon or relating thereto which assignor has invented.
- f. Any and all rights in foreign countries under such applications and inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

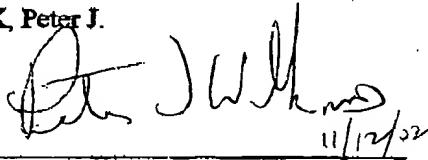
Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignee shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assignee to convey said rights.

Assignor further agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

1. Communicate to said Assignee any facts relating to the invention and the history thereof;
2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its nominees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
3. Testify at all proceedings concerning said invention and patents.

Full Name of First Inventor:

WILK, Peter J.


11/12/02

Inventor's Signature

Date

Full Name of Second Inventor:

Inventor's Signature

Date

Witness: THOMASINA FIORELLA

Print Name

Signature

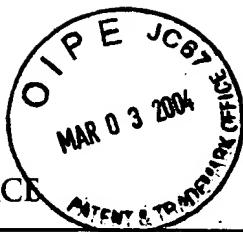
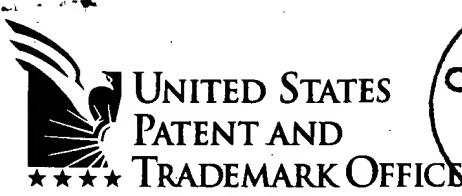
Date 11-12-02

Witness:

Print Name

Signature

Date



RECEIVED

MAR 12 2004

TECHNOLOGY CENTER R3700

APRIL 29, 2002

PTAS

COLEMAN SUDOL SAPONE, P.C.
R. NEIL SUDOL
714 COLORADO AVENUE
BRIDGEPORT, CT 06605-1601

Commissioner for Trademarks
Arlington, VA 22202-3513
www.uspto.gov



102004768A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/25/2002

REEL/FRAME: 012621/0812
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

WILK, PETER J.

DOC DATE: 02/04/2002

ASSIGNOR:

WILK PATENT DEVELOPMENT

CORPORATION

160 THIRD AVENUE

NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09435525

FILING DATE: 11/08/1999
ISSUE DATE:

PATENT NUMBER:

SERIAL NUMBER: 09426744

FILING DATE: 10/25/1999
ISSUE DATE: 07/10/2001

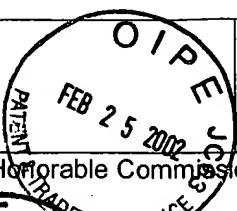
PATENT NUMBER: 6258021

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED

MAY 13 2002

COLEMAN SUDOL SAPONE, P.C.



RECC

03-07-2002



SHEET

U.S. DEPARTMENT OF
COMMERCE
Patent and Trademark Office

Docket No: W07-431

To the Honorable Commissioner of P

102004768

Please attach original documents or copy

1. Name of conveying party(ies):

Peter J. WILK

Additional name(s) of conveying party(ies) attached

 Yes X No

2. Name and address of receiving party(ies)

Name: Wilk Patent Development Corporation
Internal Address:

2-2507

3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name

Street Address: 160 Third Avenue

 Other

Execution Date: February 4, 2002

City New York State New York ZIP 10003

Additional name(s) & address(es) attached? Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/435,525

B. Patent No.(s): 6,258,021

Additional numbers attached?

 Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Coleman Sudol Sapone, P.C.

6. Total number of applications and patents involved: 2

Internal Address: The PTO did not receive the following

7. Total fee (37 C.F.R. 3.41)..... \$80.00

listed item(s) *Fee FOR
ASSIGNMENT* Enclosed

Authorized to be charged to deposit account.

Street Address: 714 Colorado Avenue

8. Deposit account number: 04-0838

City: Bridgeport State: CT ZIP: 06605-1601

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Neil Sudol, Reg. No. 31,669

Signature

4 Feb. 2002

Name of Person Signing

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT

WHEREAS, I, Peter J. Wilk, citizen of the United States of America, having an office at 160 Third Avenue, New York, New York 10003, have invented new and useful improvements in METHOD AND DEVICE FOR IMPROVING CARDIAC FUNCTION, for which I have filed application No. 09/435,525 for Letters Patent of the United States, said application being filed on November 8, 1999.

WHEREAS, I, Peter J. Wilk have been awarded U.S. Patent No. 6,258,021.

WHEREAS, Wilk Patent Development Corporation (hereinafter "WILK PATENT"), a corporation organized and existing under the laws of the State of New York, having a place of business at 160 Third Avenue, New York, New York 10003, is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent which may be issued upon the application or for improvements therein contained and is additionally desirous of acquiring the entire right, title and interest in and to U.S. Patent No. 6,258,021 and invention therein described and claimed.

Now, THEREFORE, in consideration of my employment by said WILK PATENT and other good and valuable considerations, to me in hand paid, the receipt and sufficiency of which are hereby acknowledged, I, Peter J. Wilk, have sold, assigned and transferred and do hereby sell, assign and transfer unto said WILK PATENT, its successors and assigns, the entire right, title and interest in and to U.S. Patent No. 6,258,021 and the inventions therein disclosed and my entire right, title and interest in and to the application and to the invention therein disclosed and the entire right, title and interest in and to any Letters Patent which may issue thereon, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said inventions, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said inventions, upon U.S. Patent No. 6,258,021 or upon any and all Letters Patents that may issue on the application, and every priority right that is or may be predicated upon or arise from said inventions, the same to be held and enjoyed by said WILK PATENT, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by me had this Assignment and sale not been made.

And I hereby authorize said WILK PATENT to file patent applications in any or all other countries on said inventions in my name or in the name of said WILK PATENT or otherwise as said WILK PATENT may deem advisable.

And I hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said WILK PATENT, as assignee of the entire right, title and interest therein or otherwise as said WILK PATENT may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, I, Peter J. Wilk, further represent and warrant to said WILK PATENT, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that I have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that I will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and I bind myself, my heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said WILK PATENT, its successors and assigns, and I hereby irrevocably appoint said WILK PATENT as my true and lawful attorney-in-fact on my and their behalf and in my and/or their name, place and stead to execute, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said WILK PATENT, its successors and assigns, to vest in said WILK PATENT, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said WILK PATENT to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said WILK PATENT, its successors and assigns, in and to the said inventions, and any Letters Patent granted, particularly in cases of interference and litigation.

And I further covenant and agree, in consideration of the premises, that I, my executors and administrators, will at any time upon request of said WILK PATENT, its successors or assigns, and at its expense, communicate to said WILK PATENT, its successors and assigns, any facts relating to the inventions and improvements and the history thereof, known to me or my heirs, executors, administrators or legal representatives, and that I will testify as to the same in any interference, or other litigation when requested to do so by said WILK PATENT, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 4th day of January, 2002.

February

Peter J. Wilk

Peter J. Wilk

WITNESSED BY:

First witness' signature: Dann Beazley
 First witness' name: Dann Beazley
 First witness' address: 3091 Decatur Ave.
Bronx, NY 10467